



LARGE SYNOPTIC SURVEY TELESCOPE

Large Synoptic Survey Telescope (LSST)  
Data Management

# Plans and Policies for LSST Alert Distribution

Eric Bellm, Robert Blum, Melissa Graham, Leanne Guy, Željko Ivezić,  
William O'Mullane, Maria Patterson, John Swinbank, and  
Beth Willman *for the LSST Project*

LDM-612

Latest Revision: 2019-12-20

**Draft Revision NOT YET Approved** - This LSST document has been approved as a Content-Controlled Document by the LSST DM Change Control Board. If this document is changed or superseded, the new document will retain the Handle designation shown above. The control is on the most recent digital document with this Handle in the LSST digital archive and not printed versions. Additional information may be found in the corresponding DM RFC. - **Draft Revision NOT YET Approved**

## Abstract

A major product of the nightly processing of LSST images is a world-public stream of alerts from transient, variable, and moving sources. Science users will access these alerts through community brokers or through a simple filtering service provided by LSST. This document provides a guide to the plans and policies for the alert distribution system to aid science users, broker developers, funding agencies, and LSST Project personnel. It describes the components of the alert distribution system and the data rights required to access specific scientific products. It provides guidelines for organizations developing community brokers and describes the planned capabilities of the LSST simple alert filtering service and Science Platform to aid science users in planning for LSST science.

Draft

## Change Record

| Version | Date       | Description                                    | Owner name                |
|---------|------------|--|---------------------------|
|         | 2018-12-26 | Initial draft version                          | Eric Bellm                |
| 1.0     | 2019-01-08 | Approved in RFC-556.                           | Approver:<br>W. O'Mullane |
| 1.1     | 2019-05-13 | Added referece to DMTN-102 RFC-592.            | Eric Bellm                |
| 1.2     |            | Revisions supporting broker call for proposals | Eric Bellm                |

*Document curator:* E. Bellm

*Document source location:* <https://github.com/lstt/LDM-612>

*Version from source repository:* 56b81c5

Draft



# Contents

|          |  |           |
|----------|--|-----------|
| <b>1</b> | <b>Introduction</b>  | <b>1</b>  |
| <b>2</b> | <b>Components and Capabilities of the LSST Alert Distribution System</b> | <b>3</b>  |
| 2.1      | Facilities . . . . .   | 3         |
| 2.1.1    | Data Acquisition, Transfer, and Processing . . . . .                     | 3         |
| 2.1.2    | The LSST Data Facility and Data Access Centers . . . . .                 | 3         |
| 2.2      | Pipelines and Services . . . . .   | 4         |
| 2.2.1    | Alert Production . . . . .   | 4         |
| 2.2.2    | Solar System Processing . . . . .  | 5         |
| 2.2.3    | Alert Distribution . . . . .   | 5         |
| 2.2.4    | The LSST Alert Filtering Service . . . . .                               | 6         |
| 2.2.5    | Forced Photometry . . . . .  | 6         |
| 2.2.6    | The LSST Science Platform . . . . .                                      | 7         |
| 2.3      | Data Products . . . . .  | 7         |
| 2.3.1    | Alert Packets . . . . .  | 7         |
| 2.3.2    | Processed Images . . . . .   | 8         |
| 2.3.3    | Prompt Products Database . . . . .                                       | 8         |
| 2.3.4    | Data Release Products . . . . .  | 8         |
| 2.3.5    | Alert Database . . . . .   | 9         |
| <b>3</b> | <b>Data Rights to Alert Stream Components</b>                            | <b>10</b> |
| <b>4</b> | <b>Guidelines for Community Brokers</b>                                  | <b>11</b> |
| 4.1      | The Role of Community Brokers . . . . .                                  | 11        |
| 4.2      | Requirements for Community Brokers . . . . .                             | 12        |
| 4.3      | The Broker Selection Panel . . . . .                                     | 13        |
| 4.4      | Letters of Intent . . . . .  | 13        |
| 4.5      | Call for Proposals . . . . .   | 13        |
| 4.6      | Required Technical Resources . . . . .                                   | 13        |



- 4.7 Evaluation of Community Broker Proposals . . . . . 14
  - 4.7.1 Scientific Value . . . . . 14
  - 4.7.2 Availability to the World Community . . . . . 14
  - 4.7.3 Scientific Validity . . . . . 15
  - 4.7.4 Integration with the Time-Domain Ecosystem . . . . . 15
  - 4.7.5 Community Adoption . . . . . 15
  - 4.7.6 Complementarity . . . . . 15
  - 4.7.7 Existing Agreements . . . . . 15
- 4.8 Determining the Number of Community Brokers . . . . . 16
- 4.9 Broker Evolution Throughout Operations . . . . . 16
- 4.10 Resources for Proposers . . . . . 16
- 4.11 Resources for Selected Brokers . . . . . 17
- 4.12 Timeline . . . . . 17

**5 Acronyms** **19**

Draft

# Plans and Policies for LSST Alert Distribution

## 1 Introduction

LSST will discover large numbers of astrophysical objects that move across the sky, change in brightness, or appear as transients. Because of their intrinsic temporal variability, full scientific exploitation of many classes of these events requires rapid discovery and additional follow-up observations. Accordingly, LSST's real-time Prompt Processing pipelines will identify such detections using image differencing and report them using world-public *alerts* issued within 60 seconds of the shutter closure after each visit.

These alert packets will contain not only the information about the most recent detection but also a historical lightcurve, cutout images, timeseries features, and other contextual information. A science user will be able to use the contents of a single LSST alert packet to make a decision that is both rapid and informed about whether the event is relevant to their scientific goals. LSST expects to produce up to about ten million of these alerts nightly<sup>1</sup>. The resulting *alert stream* will be large—more than 1 TB nightly—but will contain all classes of astrophysical events, from the youngest supernovae to the most distant RR Lyrae to the slowest-moving Trans-Neptunian Objects to the most unexpected new phenomena. The task for the scientist is to identify the small subset of events of interest in the larger alert stream.

To do so, astronomers will rely on third party *community brokers*, software systems that receive the full LSST alert stream and provide additional information to refine the selection of events of interest. **LSST will also provide an alert filtering service with more limited capability and capacity.** Community brokers may crossmatch the LSST stream to multiwavelength catalogs, join LSST alerts with those from other surveys, provide machine-learned classifications of events, and/or offer user filters to winnow the stream. LSST will itself offer an alert filtering service **of more limited capacity** that will apply user-supplied filters to the alert stream. Individual scientists may receive alerts through one or more of these services.

The large volume of the alert stream and the finite bandwidth from the LSST Data Facility

---

<sup>1</sup>The LSST alert stream will contain essentially all DIASources detected at  $5\sigma$  in the difference image, including a currently unknown fraction of artifacts. LSST will provide a threshold that may be used to filter transients in the alert stream to 90% completeness and 95% purity at  $6\sigma$  [LSE-30], so less than 5% of alerts filtered in this manner will be artifacts. By varying the cutoff spuriousness value users may adopt other tradeoffs between completeness and purity as well.

necessitate a proposal process to select community brokers that will receive the full stream. This document outlines the process, criteria, and timeline by which LSST will choose community brokers. To provide context, we also summarize the major features of the LSST Alert Production systems as well as relevant data rights concerns.

Throughout the document, we provide references to the formal LSST requirements and [relevant](#) design documents as an aid to the interested reader.

Draft

## 2 Components and Capabilities of the LSST Alert Distribution System

In this section we provide a high-level overview of the LSST Alert Production process to provide background for science users of LSST alerts. Key numbers for Alert Production are summarized in ? .

### 2.1 Facilities

#### 2.1.1 Data Acquisition, Transfer, and Processing

LSST will survey the sky repeatedly using standard or “alternative standard” visits (currently baselined as  $2 \times 15$  second exposures in a single band or a single 30 second exposure, respectively). Immediately after the camera shutter closes reads out, the images will be transferred via fiber networks to the LSST Data Facility (LDF; §2.1.2) at the National Center for Supercomputing Applications (NCSA) in Illinois. All subsequent data processing leading to alerts occurs in the LDF. Alerts will be distributed from the LDF within 60 seconds of the camera readout completing.

#### 2.1.2 The LSST Data Facility and Data Access Centers

LDM-230 describes the concept of operations for the LSST Data Facility. The LDF hosts a variety of computational services, including the Prompt Processing services that run the Alert Production pipelines (§2.2.1) and the Batch Production services that run the annual Data Release Processing (§2.3.4). All Prompt Processing and Alert Production runs at the LDF; Data Release Processing is split between the LDF and the CC-IN2P3 in France. The LDF also hosts a Data Access Center (DAC) that provides access to proprietary data products (§3) through the LSST Science Platform (§2.2.6). The LDF also hosts the alert stream feeds to community brokers (§2.2.3) and the LSST Alert Filtering Service (§2.2.4).

An additional DAC will be located in Chile. Its capabilities are described in LDM-572. It will host a copy of the raw data and processed data products and run a version of the Science Platform.



## 2.2 Pipelines and Services

### 2.2.1 Alert Production

LSST Data Management (DM) Alert Production (AP) processes data during the night and generates alerts [in close to real-time](#). It is described in LSE-163, with detailed descriptions of its constituent pipelines and algorithmic components provided in LDM-151. The basic modules include single-frame image processing (e.g., instrument signature removal, photometric and astrometric calibration), difference image analysis (DIA; the subtraction of a coadded template image constructed from the most recent Data Release from the new visit image), and source detection, association, and measurement [LSE-163]. AP will process all standard and alternative standard visits, whether obtained as part of the main wide-fast-deep survey or a Special Program [DMTN-065] and generate alerts within the required 60 seconds after the [shutter closes camera readout completes](#) (DMS-REQ-0004, LSE-61)<sup>2</sup>. Due to the need for Data Release Production-derived templates, Alert Production cannot run at full scale and full fidelity during neither commissioning nor the first year of operations. LSST DM is currently investigating options for Alert Production in year one [? ].

All sources in a difference image that have a signal-to-noise ratio  $SNR > 5$  in positive or negative flux are considered “detected” `DIASources`, are incorporated into the `DIASource` catalog, and will cause an alert to be issued<sup>3</sup>. Additionally some  $SNR < 5$  sources will be kept for diagnostic purposes, but will not lead to alerts. Source association and measurement occurs prior to alert generation: every `DIASource` will have one unique match to a `DIAObject` (stationary object) or known Solar System Object `SSObject` (moving object; see Section 2.2.2). The stationary source association algorithms will be probabilistic and incorporate motion models for parallax and proper motion [LDM-151]. If no association is possible a new `DIAObject` will be created. Source measurements including centroid, fluxes, shapes, and other characterization parameters (Table 1 of LSE-163) are made, and the time-evolving parameters of the `DIAObject` such as the parallax, mean flux, and periodic/non-periodic light curve features, will

<sup>2</sup> AP may also be able to process non-standard visits with longer or shorter exposure times provided that, e.g., the visit image can be successfully PSF-matched and differenced with a template image. Alert generation in crowded fields may produce more than the maximum of 10,000 alerts per visit required to be supported by DM; alert generation in this circumstance is still under study.

<sup>3</sup> There may be exceptions to this rule, such as the following two examples. (1) Sources with  $SNR > 5$  that have a “*high probability of being instrumental non-astrophysical artifacts*” [LSE-163], potentially as determined by a to-be-developed spuriousness or real/bogus classifier [LDM-151], may not produce alerts. The limit on false positives is set by the requirement that the alert stream be 90% complete at 95% purity for `DIASources` of  $SNR = 6$  LSE-61. (2) Sources with  $SNR < 5$  that meet other to-be-determined criteria, such as the likelihood of being a potentially hazardous asteroid, could produce alerts.

be updated to include the new associated DIASource. At this point, the DIASource will be used to create an alert packet (§2.3.1), regardless of whether the DIASource is associated with a new DIAObject, a previously-existing DIAObject, or an SSObject.

## 2.2.2 Solar System Processing

After the completion of a night of observing, LSST Solar System Processing (SSP; LDM-156) will attempt to identify *new* SSObjects using the updated Prompt Products Database (§2.3.3). SSP algorithms form tracklets from pairs of single-apparition DIASources taken during one night, generate tracks between nights, and fit orbits to identify and characterize moving objects. Newly identified moving objects are added to the SSObject catalog. SSP will **interface with report candidate moving objects** to the Minor Planets Center (MPC), **where they will be publicly available**; will ingest all previously known or externally identified moving objects into the SSObjects catalog; and will use MPC astrometry in the orbital parameter fits. Users interested in newly-discovered asteroids will need to query the SSObject catalog or the MPC to identify them. The first time a new SSObject is discovered, SSP will associate past DIASources with the new SSObject as far back as is practical from the accuracy of the orbit. However, revised alerts will not be issued. Future LSST detections of the new SSObject will produce alerts attributed to the SSObject.

## 2.2.3 Alert Distribution

Alert packets (§2.3.1) will be queued for distribution to community brokers (§4) and the LSST alert filtering service (§2.2.4). LSST is prototyping a bulk transport system built on the open-source distributed queue system Apache Kafka<sup>4</sup>, with Apache Avro<sup>5</sup> used as a binary serialization format [DMTN-093]. Initial tests indicate that this system performs effectively at the required scale [DMTN-028].

An allocation of 10 Gbps is baselined for alert stream transfer from the LDF, with an estimated packet size of 82 KB and up to 10,000 alerts per visit. Due to the finite bandwidth out of the LDF, only a limited number of selected community brokers can receive the full alert stream. It will not be possible for individual science users to subscribe directly to the full LSST alert stream. Rather, science users may access LSST alerts through a community broker, or through

---

<sup>4</sup><http://kafka.apache.org/>

<sup>5</sup><http://avro.apache.org/>

the alert filtering service (§2.2.4) if they have data access rights (§3). For illustration, based on these numbers up to 7 brokers could receive the full stream if 5 seconds is budgeted for outbound data transfer. A minimum of five full streams is required to be supported [LSE-61]. The eventual number of selected community brokers (§4.8) is still to be determined, as it depends on several quantities including available network capacity, packet size, number of alerts per visit, and the rate of alert distribution. The broker selection process described in §4 is most appropriate for the current estimate. If only one or two full streams could be supported more explicit requirements on the selected brokers would be needed; if tens of full streams could be supported a simpler selection process could be used.

### 2.2.4 The LSST Alert Filtering Service

The LSST alert filtering service provides a means of real-time access to a subset of the alert stream. Using the Science Platform (§2.2.6), users can upload simple filters (filters which only use information within the alert packet) and receive on their own computer a real-time stream of alerts which pass their filter. This capability is expected to be useful for science cases requiring real-time notification that may be too specific to be well-served by community brokers. The alert filtering service is required to support a minimum of 100 simultaneous users; limits on resource usage and bandwidth (support for transmission of up to 20 full-sized alerts per visit per filter is required) will be imposed to maintain performance<sup>6</sup>. If necessary, a TAC-like process may be used to allocate filtering service resources [LSE-163]. The LSST alert filtering service will support a version of the VOEvent standard<sup>7</sup> that is current at the time of LSST operations.

### 2.2.5 Forced Photometry

Measurements of DIAObjects that are below the  $SNR > 5$  threshold are available through “forced photometry”: flux is measured at a previously-known position. Forced photometry is performed on difference images in two ways; neither contributes to alerts, but are available to users through the Science Platform. First, during the real-time difference image analysis, forced photometry is performed on every difference image at the locations of all previously-

<sup>6</sup> The requirement on the number of simultaneously connected users and number of passed alerts is largely driven by outbound bandwidth limitations from the DAC at NCSA. We are investigating approaches that would support larger numbers of active filters. Users with data rights that do not require real-time notification can also programatically query the Prompt Products Database to identify DIAObjects or SSObjects of interest without using the LSST alert filtering service.

<sup>7</sup><http://www.ivoa.net/documents/VOEvent/>

known DIAObjects detected in a past interval to be determined. The resulting measurements are stored in the Prompt Products Database (§2.3.3) and are queryable within 24 hours. Second, at the end of the night, “precovery” forced photometry will be performed for all *new* DIAObjects on every difference image from the past 30 days. These results are also stored in the Prompt Products database and will be available within 24 hours.

Additionally, a service shall be provided to users to obtain full-survey precovery for a limited number of user-specified DIAObjects, also within 24 hours (DMS-REQ-0341, LSE-61). This service will be made available through the Science Platform.

## 2.2.6 The LSST Science Platform

The LSST Science Platform (LSP) provides access to the proprietary data products (e.g., §2.3.2–2.3.5) held in the DACs. The Science Platform is described in full in LSE-319 and LDM-554. It provides three means of accessing data: a web-based “Portal” for visual examination and querying of the LSST images and catalogs; an interactive “Notebook” environment with associated computing allocations for running code close to the data; and an Application Programming Interface (API) for programmatic access to LSST data using Virtual Observatory standards.

## 2.3 Data Products

### 2.3.1 Alert Packets

The contents of an Alert packet are fully described in Section 3.5.1 of LSE-163. We reproduce the list of included data here:

- I. an ID uniquely identifying the Alert
- II. the prompt products database ID
- III. the database record of the DIASource that triggered the Alert, as well as the `filterName` and `programId` of the corresponding Visit
- IV. the entire associated DIAObject or SSObject record from the Prompt Products Database, which include a variety of variability metrics computed on the updated DIASource lightcurve.

- V. the previous 12 months of associated DIASource records from the Prompt Products Database
- VI. matching Object IDs from the latest Data Release, if they exist, and 12 months of their DIASource records
- VII. postage stamps of the difference image and template at the DIASource location

The full list of parameters that are measured and included in the DIASource and DIAObject records are provided in Tables 1 and 2 of LSE-163, respectively. The only trigger for an Alert is the detection of a source in a difference image with  $SNR > 5$ . Thus, objects that are saturated in the visit or template image, or objects that are the same brightness in both the visit and template images will not generate an Alert. Imperfect subtractions may create artifacts with  $SNR > 5$ ; these will generally lead to an Alert, subject to overall capacity limits. A machine-learned spuriousness score will be provided for each DIASource triggering an Alert, so users may filter the stream for greater completeness or purity depending on their scientific needs. The spuriousness classifier is required to achieve 90% completeness and 95% purity at  $6\sigma$  [LSE-30] at a threshold spuriousness value.

### 2.3.2 Processed Images

Processed visit images (PVIs) and difference images produced by the prompt processing pipeline will be available in the Science Platform to users with data rights within 24 hours. They are held on disk for 30 days to enable the precovery forced photometry service (§2.2.5). PVIs for older visits can be regenerated on-demand.

### 2.3.3 Prompt Products Database

The DIAObject, DIASource, and SSObject catalogs created by the Alert Generation pipelines are held in a Prompt Products Database along with the outputs of the Forced Photometry. This database is queryable through any of the aspects of the Science Platform.

### 2.3.4 Data Release Products

Annual<sup>8</sup> releases of all the LSST data will include processed and stacked images, catalogs of Sources, ForcedSources, and Objects from measurements on the stacked and individual

<sup>8</sup>There will be an additional initial data release containing data from the first six months of operations [? ].

images, as well as calibration information. It will also include a reprocessing of all images with the latest pipelines and full-survey versions of DIASource and DIAObject catalogs—i.e., the variability characterization parameters are calculated from the entire survey to date. All of these products are queryable through the Science Platform.

### 2.3.5 Alert Database

All alerts will be stored in their full original form in an archive hosted in the DACs. This archive is expected to be of most use for testing broker filters or for studies that need to emulate real-time identification of transients from the alert packets. Users who simply wish to work with large samples of lightcurves will likely prefer to query the DIAObject and DIASource or Object and ForceSource tables directly.

Draft

### 3 Data Rights to Alert Stream Components

LSST data rights and data access policies are formally described in the LSST Data Rights Policy document, [?](#), which is in development at this writing. The interested reader is advised to consult that document for details. We summarize here for convenience those aspects of the policy that pertain to LSST alerts. In the case of any discrepancy between this document and the LSST Data Rights Policy document, the LSST Data Rights Policy document shall take precedence.

LSST alert packets (§2.3.1) are a world-public data product. We use “public” here in the sense that alert packets can be freely shared with anyone, by anyone, anywhere, worldwide. However, LSST does not have the bandwidth to serve the full alert stream directly to individuals; thus, the term “public” means “shareable” and should not be misinterpreted as “freely available.”

Instead, the LSST alert stream will be delivered to a to-be-determined set of community brokers (Section 4). [Any institution worldwide may propose to host a broker.](#) Brokers may share (or not share) the contents of alert packets with whomever they choose, although the broker selection process (§4) will prioritize selection of brokers that will provide world-public access to their services. [The contents of the DIAObject, DIASource, DIAForcedSource, and SSObject tables held in the PPDB are also public and may be freely shared, although the ability to access and query the PPDBs held in LSST DACs is restricted to data rights holders.](#)

[Access to proprietary LSST data \(e.g., recent LSST images or the current LSST data release\) is restricted to data rights holders. Brokers run by LSST data rights holders may facilitate access to these data for data rights holders but may not redistribute proprietary data. Proprietary data may be used as part of alert classification; the alert classifications are a derived data product and may be made public.](#)

## 4 Guidelines for Community Brokers

### 4.1 The Role of Community Brokers

Community brokers will play a vital role in enabling time-domain science with LSST. By receiving the LSST alert stream, adding value, and redistributing it to the scientific community, brokers facilitate full exploitation of the scientific value of the real-time LSST alerts.

We envision that community brokers, individually or jointly, may provide a variety of functions. These may include, but are not limited to:

- redistributing alert packets [to a defined or world-wide community](#)
- filtering alerts [for specific science goals or to remove potentially spurious alerts](#)<sup>9</sup>
- cross-correlating LSST alerts with other static catalogs or alert streams
- classifying events scientifically
- providing user interfaces to the data
- coordinating scientific activity among collaborators
- triggering followup observing
- for users with appropriate data rights, facilitating followup queries and/or user-generated processing within the LSST Data Access Center
- managing annotation & citation as followup observations are made
- collecting classification and other information gathered by the scientific community

Some of these functions may be performed by “Target and Observation Managers” [TOMs; 13] that may either be integrated into community brokers directly or connect to annotated and/or filtered streams delivered by a broker. For simplicity, we will here refer to any system that connects directly to the LSST alert stream as a broker.

---

<sup>9</sup>trading completeness for purity



## 4.2 Requirements for Community Brokers

Because of the large data volume of the alert stream (several TB per night), finite bandwidth from the LSST datacenter prevents sending a full copy of the alert stream to all interested parties. Additionally, we anticipate that community brokers will require some level of support from the LSST operations team. Accordingly, LSST **willis** conducting an open proposal process in order to select brokers with sufficient technical capability to enable valuable and reliable scientific returns.

We believe the scientific community will be best served by a rich broker ecosystem offering diverse capabilities. Any institution worldwide **maywas allowed to** submit a Letter of Intent (§4.4). **The LSST SAC decided to invite all institutions who submitted a LOI to submit a full proposal.** Demonstration of appropriate technical and personnel resources to support the proposed goals **will bewere** the minimum requirement to be considered.

Recognizing that different science goals may benefit from different technical approaches, and that proposing institutions may have a range of strengths and experience, the proposal solicitation **willdoes** not place any explicit functional requirements on proposed brokers. Thus a proposed broker is not *required* to consume the whole stream, to redistribute the full stream, to operate for the full ten-year survey, or to make its products world-public, for example. However, given the small and finite number of brokers to be selected (§4.8) and the evaluation criteria (§4.7), proposals offering to do so will be more likely to be selected. The selection committee will evaluate the proposals holistically, weighing the unique capabilities proposed in order to maximize the scientific output of LSST.

While we expect the evaluation process to favor proposals that receive the full alert stream, brokers may propose to receive only a filtered subset of events (or a subset of the alert packet contents) **from LSST.** We anticipate that this **facilitycapability** would be provided by the same technology underlying the LSST alert filtering service, potentially with less restrictive requirements than are placed on user-provided filters. **Some broker services, particularly those processing only a small subset of alerts, may elect to receive alerts from other community brokers rather than from the LDF directly. Brokers requesting direct access to the alert stream who agree to forward alerts to downstream services will be favored in the selection process.**

### 4.3 The Broker Selection Panel

Broker proposals will be evaluated by a panel designated by the LSST Science Advisory Committee. Appropriate care will be taken to avoid conflicts of interest. The panel will include ex officio representatives from the LSST Project Office, LSST Operations, and LSST Data Management to provide policy and technical guidance.

### 4.4 Letters of Intent

The broker proposal process will have two stages: an initial open call for Letters of Intent (LOIs) from all interested parties, and a subsequent full proposal call solicited from invited LOI writers. This two-stage process ensures those writing full proposals have a reasonable chance at selection and provides an opportunity for early feedback. An invitation to submit a full proposal may also be valuable to proposers in obtaining relevant funding. No financial support is available from the LSST Project. The LOI call [iswas](#) issued in [?](#) .

LOIs [will bewere](#) evaluated using the same criteria as the full proposals (§4.6–4.7), with recognition that the proposed brokers may [behave been](#) in the conceptual or early design stage at the time of the LOI.

### 4.5 Call for Proposals

The call for full proposals for LSST brokers was issued in [?](#) . The broker selection panel will use these proposals to select the brokers that will receive alert streams directly from the LSST Data Facility.

### 4.6 Required Technical Resources

The panel will evaluate whether the proposed broker system is technically feasible and appropriately supported for the proposed goals. At minimum, this requires:

- Large inbound and outbound network bandwidth (the full alert stream is a few TB/night)
- Petabytes of disk capacity

- Databases capable of handling billions of sources
- Compute resources to handle sophisticated classification and filtering tasks in real time at scale
- Appropriate personnel and sufficient effort to develop the required software and to maintain and operate the service
- Institutional support to ensure the longevity and stability of the service and software. [By default selected brokers will be expected to plan to operate for the full ten-year survey.](#)
- Discussion of funding sources to support broker development and operations.

## 4.7 Evaluation of Community Broker Proposals

The broker selection panel will evaluate proposals with a primary goal of maximizing the scientific exploitation of LSST—recognizing that many of LSST’s scientific returns are likely to be unanticipated.

The panel will evaluate broker proposals that demonstrate appropriate technical resources (§4.6) using the following evaluation criteria:

### 4.7.1 Scientific Value

The panel will consider whether a proposed broker has the potential to add scientific value that serves a large community, enables high-profile science, or provides unique capabilities. In particular, the panel will evaluate the proposed contributions to LSST’s four science pillars<sup>10</sup>. The panel will prioritize proposals that require or take advantage of the unique aspects of the LSST alert stream, namely its real-time and world-public nature.

### 4.7.2 Availability to the World Community

Because community brokers are the means by which scientists without LSST data rights may access the public contents of the alert packets, the panel will prefer proposals that make their

<sup>10</sup>These are: Probing Dark Matter and Dark Energy, Taking an Inventory of the Solar System, Exploring the Transient Optical Sky, and Mapping the Milky Way [LPM-17].

products and services world-public. [At least one broker that provides world-public access to alerts will be selected.](#)

### 4.7.3 Scientific Validity

The panel will look for evidence that scientific products, such as photometric classifications, produced by a proposed broker are accurate. Brokers may demonstrate performance in production on precursor surveys or by data challenge. The proposal call will provide sample alerts generated by LSST pipelines from precursor survey data that may be used for testing and evaluation.

### 4.7.4 Integration with the Time-Domain Ecosystem

For science cases involving real-time followup, the panel will consider the extent to which proposed brokers facilitate integration with followup resources, other surveys and alert streams, other brokers and services, existing communities of observers, and archives.

### 4.7.5 Community Adoption

The panel will consider whether a proposed broker system has already demonstrated scientific value and community adoption on precursor streams. This evaluation will also assess the range of scientific, geographic, and institutional communities that have utilized the service as well as the publications it enabled.

### 4.7.6 Complementarity

To maximize scientific returns, the panel will aim to select an ensemble of complementary brokers. Brokers that are more limited in scope or scientific goals may be selected if they provide capabilities not present in other selected brokers or are serving scientific communities that would otherwise be unable to use LSST alerts.

### 4.7.7 Existing Agreements

The panel will consider the applicability of any existing agreements, if relevant.

## 4.8 Determining the Number of Community Brokers

Early sizing estimates indicated that only four full streams could be provided from the LSST Data Facility due to network bandwidth limitations. Current capacity projections indicate a larger number may be possible (§2.2.3), but with some uncertainty. [A minimum of five full streams is required \[LSE-61\]](#). The available capacity will depend on:

- actual network capacity and usage patterns
- details of the alert format
- the achieved purity of the alert stream

[The proposal solicitation will provide updated estimates based on pipeline performance on precursor surveys.](#) Final capacity determination will not be possible until commissioning data is available [from ComCam, currently early in 2021](#). The broker selection panel may elect to make guaranteed and provisional selections based on these estimates. [A subset of brokers may be selected for integration during the commissioning and early operations phases, with additional brokers joining as the survey reaches steady state.](#)

## 4.9 Broker Evolution Throughout Operations

Broker performance and usage will be reviewed periodically during LSST Operations at an interval to be determined. Broker selections may be changed or additional new brokers added due to changes in LSST survey or pipeline performance, broker performance or usage, or community science priorities.

## 4.10 Resources for Proposers

To aid in broker development and testing, [at the time of the full broker proposal solicitation](#) LSST will make available pre-packaged alert streams for precursor and/or simulated datasets generated by the LSST Alert Production pipelines and distributed with the expected formats and protocols.

## 4.11 Resources for Selected Brokers

Selected brokers will be expected to sign a Memorandum of Understanding codifying agreement to respect LSST Data Rights policies (where relevant) and outlining expected interfaces, support, and Service-Level Agreements for both parties. Selected community brokers will receive basic support from the LSST Operations Team to ensure that the alert stream is delivered with the expected form, content, reliability, and timing. Additionally, status information will be available during operations to diagnose processing and data transport problems as they arise.

## 4.12 Timeline

The calendar-year timeline presented below is the current best estimate based on the LSST project schedule and is subject to revision.

- 2018** • Q4 Plans and Policies for LSST Alert Distribution document issued
- 2019** • Q1 Issue call for Letters of Intent (LOI)
  - Q2 Letters of intent due
  - *June* Broker development workshop (invited LOI writers)
  - Q3 Document bulk transport format and interface
  - Q3 Set up test alert stream with sample precursor data
  - Q4 [Revise this document](#). Invite full proposals for community brokers from selected LOIs
- 2020** • Q1 [Document bulk transport format and interface](#). Distribute alert stream test software.
  - Q3 [June 15](#) Broker proposals due
  - Q3 Provisional broker selections made

Subsequent steps depend on major commissioning milestones and will shift according to the evolving commissioning schedule<sup>11</sup>. Alerts are provided during commissioning to enable engineering tests of broker interfaces. [Data Release Scenarios are described in ?](#) .

<sup>11</sup>See <https://www.lsst.org/about/project-status>.

- **Sustained observing with ComCam** *currently Q4 2020*
  - *+3 months* Produce sample commissioning alerts with ComCam *with substantial latency*
  - *+6 months* Finalize number of full streams and select community brokers for early operations
  - *+1 year* Integrate selected brokers with the LSST Alert System
- **LSSTCam Commissioning Mini-Surveys** *currently Q3 2021*
  - *+1 month* Produce sample commissioning alerts with LSSTCam *with substantial latency*
- **Full LSST operations** *currently Q4 2022*

Draft

## 5 Acronyms

| Acronym | Description  |
|---------|--|
| AP      | Alerts Production                                      |
| API     | Application Programming Interface                      |
| C       | Specific programming language (also called ANSI-C)     |
| DAC     | Data Access Center                                     |
| DAPOL   | Data Access Policy (Tag for policy statement)          |
| DIA     | Difference Image Analysis                              |
| DM      | Data Management  |
| DMS     | Data Management Sub-system                             |
| DMTN    | DM Technical Note                                      |
| DRP     | Data Release Production                                |
| ID      | Identifier (Identification)                            |
| KB      | KiloByte   |
| LDF     | LSST Data Facility                                     |
| LDM     | LSST Data Management (handle for controlled documents) |
| LOI     | Letter of Intent                                       |
| LPM     | LSST Project Management (Document Handle)              |
| LSE     | LSST Systems Engineering (Document Handle)             |
| LSP     | LSST Science Platform                                  |
| LSST    | Large Synoptic Survey Telescope                        |
| MOPS    | Moving Object Pipeline System                          |
| MPC     | Minor Planet Centre                                    |
| NCSA    | National Center for Supercomputing Applications        |
| PSF     | Point Spread Function                                  |
| RR      | Rate Reduction   |
| SNR     | Signal-to-Noise Ratio (also denoted SN and S/N)        |
| TAC     | Time Allocation Committee                              |
| TB      | TeraByte   |

## References

- [1] **[LSE-30]**, Claver, C.F., The LSST Systems Engineering Integrated Project Team, 2018, *Observatory System Specifications (OSS)*, LSE-30, URL <https://ls.st/LSE-30>



- [2] **[LSE-61]**, Dubois-Felsmann, G., Jenness, T., 2018, *LSST Data Management Subsystem Requirements*, LSE-61, URL <https://ls.st/LSE-61>
- [3] **[LDM-554]**, Dubois-Felsmann, G., Ciardi, D., Mueller, F., Economou, F., 2018, *Science Platform Requirements*, LDM-554, URL <https://ls.st/LDM-554>
- [4] **[DMTN-065]**, Graham, M., Jurić, M., Lim, K.T., Bellm, E., 2018, *Data Management and LSST Special Programs*, DMTN-065, URL <https://dmtn-065.lsst.io>, LSST Data Management Technical Note
- [5] **[LPM-17]**, Ivezić, Ž., The LSST Science Collaboration, 2018, *LSST Science Requirements Document*, LPM-17, URL <https://ls.st/LPM-17>
- [6] **[LSE-319]**, Jurić, M., Ciardi, D., Dubois-Felsmann, G., 2017, *LSST Science Platform Vision Document*, LSE-319, URL <https://ls.st/LSE-319>
- [7] **[LSE-163]**, Jurić, M., et al., 2017, *LSST Data Products Definition Document*, LSE-163, URL <https://ls.st/LSE-163>
- [8] **[LDM-156]**, Myers, J., Jones, L., Axelrod, T., 2013, *Moving Object Pipeline System Design*, LDM-156, URL <https://ls.st/LDM-156>
- [9] **[LDM-572]**, O'Mullane, W., Petravick, D., 2017, *Chilean Data Access Center*, LDM-572, URL <https://ls.st/LDM-572>
- [10] **[DMTN-093]**, Patterson, M., Bellm, E., Swinbank, J., 2018, *Design of the LSST Alert Distribution System*, DMTN-093, URL <https://dmtn-093.lsst.io>, LSST Data Management Technical Note
- [11] **[DMTN-028]**, Patterson, M.T., 2018, *Benchmarking a distribution system for LSST alerts*, DMTN-028, URL <https://dmtn-028.lsst.io>, LSST Data Management Technical Note
- [12] **[LDM-230]**, Petravick, D., Butler, M., Gelman, M., 2018, *Concept of Operations for the LSST Data Facility Services*, LDM-230, URL <https://ls.st/LDM-230>
- [13] Street, R.A., Bowman, M., Saunders, E.S., Boroson, T., 2018, In: *Software and Cyber-infrastructure for Astronomy V*, vol. 10707 of Proc. SPIE, 1070711 (arXiv:1806.09557), doi:10.1117/12.2312293, ADS Link
- [14] **[LDM-151]**, Swinbank, J.D., et al., 2017, *Data Management Science Pipelines Design*, LDM-151, URL <https://ls.st/LDM-151>